Becejet date: 07/19/2012

Doc description: Information Disclosure Statement (IDS) Filed

09409594 - GAJ-0-24210) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		09409594
Filing Date		1999-09-30
First Named Inventor Basse		ett et al.
Art Unit		2421
Examiner Name Salce		, Jason P.
Attorney Docket Number		AT9-99-254

		Remove				
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5724091		1998-03-03	Freeman et al.	
	2	5778184		1998-07-07	Brownmiller et al.	
	3	5778187		1998-07-07	Monteiro et al.	
	4	5848396		1998-12-08	Gerace	
	5	5877755		1999-03-02	Hellhake	
	6	5893091		1999-04-06	Hunt et al.	
	7	5901287		1999-05-04	Bull et al.	
	8	5918014		1999-06-29	Robinson	

Receipt date: 07/19/2012 09409594 - GAU: 2421 Application Number 09409594 Filing Date 1999-09-30 INFORMATION DISCLOSURE First Named Inventor Bassett et al. 2421 Art Unit (Not for submission under 37 CFR 1.99) **Examiner Name** Salce, Jason P.

AT9-99-254

Attorney Docket Number

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
l

	Γ				
9	5931901		1999-08-03	Wolfe et al.	
10	6002394		1999-12-14	Schein et al.	
11	6018659		2000-01-25	Ayyagari et al.	
12	6044376		2000-03-28	Kurtzman, II	
13	6047327		2000-04-04	Tso et al.	
14	6088722		2000-07-11	Herz et al.	
15	6122263		2000-09-19	Dahlin et al.	
16	6144375		2000-11-07	Jain et al.	
17	6154745		2000-11-28	Kari et al.	
18	6285317	B1	2001-09-04	Ong	
19	6366298	B1	2002-04-02	Haitsuka et al.	

Receipt	date	: 07/19/2012		Applic	ation N	umber		09409594	094	409594 -	- GAU: 2	421
				Filing	Date			1999-09-30				
INFORMATION DISCLOSURE				First N	lamed l	nventor	Bass	ett et al.				
		IT BY APPLIC ssion under 37 CFF		Art Ur	Art Unit 24			2421				
(NOT IOF :	Subillis	ssion under 37 CFF	(1.99)	Exam	iner Na	me	Salce	e, Jason P.				
				Attorn	ey Doc	ket Numbe	er	AT9-99-254				
	Γ	T	 			Γ						
	20	6553178	B2	2003-04	22	Abecassis						
	21	6801944	B2	2004-10	-05	Motoyama	et al.					
	22	7010492	B1	2006-03	-07	Bassett et	al.					
If you wish to add additional U.S. Patent citation				-					Add —			
			U.S.P.	ATENT	APPLI	CATION P	JBLI	CATIONS		Remove		
Examiner Initial*	Cite N	Publication Number	Kind Code ¹	Publica Date	Publication Name of Patentee or Applicant of cited Document		Rele		Lines where ges or Relev			
	1											
If you wis	h to add	d additional U.S. Pul	olished Ap	plication	citation	n informatio	on ple	ease click the Add	butto	n. Add		
				FOREIC	SN PAT	ENT DOC	UME	NTS		Remove		
Examiner Initial*		Foreign Document Number³	Country Code ²		Kind Code ⁴	Publicatio Date	'n ,	Name of Patentee Applicant of cited Document	e or	where Rel	or Relevant	T5
	1	2250450	CA			1999-04-16	6 I	Lemole et al.				
	2	0847156	EP		A2	1998-06-10	י כ	Wolfe et al.				
	3	2327837	GB		А	1999-02-03	3 1	Butler et al.				

Receipt date: 07/19/2012			Applic	ation N	umber		09409594	094	409594 - GAU: 2	421			
				Filing Date			1999-09-30	1999-09-30					
		TION DISCLOSE		First N	lamed	Inventor	Bass	sett et al.					
		NT BY APPLICA ission under 37 CFR		Art Ur	Art Unit			2421	2421				
(NOT IOI	Subili	ission under 37 OFK	1.33)	Exam	iner Na	me	Salc	e, Jason P.					
				Attorn	ey Doc	ket Numb	er	AT9-99-254					
	.				r	T					T		
	4	10257050	JP			1998-09-2	5	Motoyama et al.		Corresponds to U.S. Patent No. 6801944			
	5	11512903	JP			1999-11-0	2	Butler et al.		Corresponds to GB Publication No. 2327837			
	6	9617467	wo		A2	1996-06-0	6	Herz et al.					
	7	0712486	WO		۸1	1007.04.0	13	Slozak					

	5	11512903	JP		1999-11-02	Butler et al.	Corresponds to GB Publication No. 2327837	
	6	9617467	wo	A2	1996-06-06	Herz et al.		
	7	9712486	wo	A1	1997-04-03	Slezak		
	8	9721183	wo	A1	1997-06-12	Naqvi et al.		
	9	9841020	WO	A1	1998-09-17	Freeman et al.		
	10	9904561	WO	A1	1999-01-28	Alexander et al.		
	11	9930493	WO	A1	1999-06-17	Picco et al.		
	12	9945702	wo	A1	1999-09-10	Knudson et al.		
If you wisl	n to ac	dd additional Foreign P	atent Document	citation	information pl	ease click the Add buttor	<u> </u>	
			NON-PATEN	NT LITE	RATURE DO	CUMENTS	Remove	
Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.								T5

Receipt date: 07/19/2012	Application Number		09409594	09409594 - GAU: 2421	
INFORMATION BIOCH COURT	Filing Date		1999-09-30		
INFORMATION DISCLOSURE	First Named Inventor	Basse	tt et al.		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2421		
(Notice submission under or or it not)	Examiner Name	Salce	e, Jason P.		
	Attorney Docket Number		AT9-99-254		

1	GALLAGHER et al., "A Framework for Targeting Banner Advertising on the Internet," Proceedings of the 30th Annual Hawaii International Conference on System Sciences, Maui, Hawaii, January 7-10, 1997, pp,. 265-274.	
2	LAMBERT, "Bell Atlantic Installs Multimedia Network Software," Multichannel News, pp. 23, January 3, 1994.	
3	BASSETT et al., "Method and Apparatus for Dynamic Targeting of Streaming Media Using Statistical Data," U.S. Application No. 09/409,601, filed September 30, 1999, 54 pages. (AT9-99-255)	
4	Office Action regarding U.S. Application No. 09/409,601, dated November 26, 2001, 20 pages. (AT9-99-255)	
5	Office Action regarding U.S. Application No. 09/409,601, dated May 14, 2002, 13 pages. (AT9-99-255)	
6	Office Action regarding U.S. Application No. 09/409,601, dated November 6, 2002, 11 pages. (AT9-99-255)	
7	Office Action regarding U.S. Application No. 09/409,601, dated April 11, 2003, 12 pages. (AT9-99-255)	
8	Final Office Action regarding U.S. Application No. 09/409,601, dated September 22, 2003, 15 pages. (AT9-99-255)	
9	Office Action regarding U.S. Application No. 09/409,601, dated November 26, 2003, 11 pages. (AT9-99-255)	
10	Final Office Action regarding U.S. Application No. 09/409,601, dated May 6, 2004, 12 pages. (AT9-99-255)	
If you wish to	add additional non-patent literature document citation information please click the Add button Add	_

Receipt date: 07/19/2012
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number 09409594 09409594 GAU: 2421

Filing Date 1999-09-30

First Named Inventor Bassett et al.

Art Unit 2421

Examiner Name Salce, Jason P.

AT9-99-254

		EXAMINER SIGNATURE	
Examiner Signature	/Jason Salce/	Date Considered	08/06/2012

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Attorney Docket Number

¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Receipt date: 07/19/2012	Application Number		09409594	09409594 - GAU: 242	
INFORMATION BIOCH COURT	Filing Date		1999-09-30		
INFORMATION DISCLOSURE	First Named Inventor Basse		sett et al.		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2421		
(Notice Submission under or or it not)	Examiner Name	Salce	, Jason P.		
	Attorney Docket Numb	er	AT9-99-254		
· · · · · · · · · · · · · · · · · · ·					

	CERTIFICATION STATEMENT									
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):									
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).									
OR	1									
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filling of the information disclosure statement. See 37 CFR 1.97(e)(2).									
	See attached ce	rtification statement.								
	The fee set forth	in 37 CFR 1.17 (p) has been submitted l	herewith.							
×	A certification st	atement is not submitted herewith.								
	SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Sigr	gnature /Jim O. Skarsten/ Date (YYYY-MM-DD) 2012-07-19									
Nan	lame/Print Jim O. Skarsten Registration Number 28346									
This	collection of info	rmation is required by 37 CFR 1.97 and	1.98. The information is requi	red to obtain or retain a benefit by the						

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Receipt date: 07/19/2012 09409594 - GAU: 2421

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.